# Instructions and Helpful Hints for Completing the STATEMENT OF FINANCIAL INTERESTS

## By Wes Benedict – Version 7/26/2021 v3.0

Wes Benedict is not an attorney or an accountant and this is not legal or accounting advice. He's just trying to help give guidance based on personal experience, having read the instructions, called the ethics commission many times, and having talked to many candidates.

Information below is intended to be helpful hints and answers to frequently asked questions, but are not complete instructions. See official instructions for official requirements.

You can **call the State Ethics Commission at 717-783-1610** and ask questions. They're often easy to reach and are helpful most of the time.

If you require more space than has been provided, please attach an 8 1/2" x 11" piece of paper to the form with the additional information. I suggest you put your name at the top of that page as well.

BLOCKS 01 through 06 are for the current moment in 2021. BLOCKS 07 through 15 are for **2020** (As is Jan. 1, 2020, through Dec. 31. 2020.).

If you list something somewhere that did not need to be listed, I doubt that would get you into trouble. If you failed to list something that should be there (like you're trying to hide something), then that could be a problem.

Some things are supposed to be listed in more than one place. For example, if you own a small business, then you would probably need to list it in BLOCKS 10, 13, and 14.

Here are a few tips and Frequently Asked Questions for each section.

#### **BLOCK 1 NAME**

If your name doesn't fit in the blocks, put what fits and put the rest on an attached  $8\ 1/2$ " x 11" piece of paper. (Typed or handwritten.)

01 LAST NAME		FIRST NAME	MI SUFFIX	
BENE	DICT	SUPE	R D 06	<u> </u>
02 ADDRESS office (bus	iness or governmental) or home	PHILA DELPHIA	PA 2225	Area Code 867-5309

#### **BLOCK 2 ADDRESS**

Most likely it's your home address that goes there.

#### **BLOCK 3 STATUS**

For most of you, check item A: "Candidate . . . "

03	STATUS Check applicable box or boxes,	, more than one box may be marked.	(See instructions on page 2)
	A Candidate (including write-in)	C Public Official (Current)	D Public Employee (C
	B Nominee	C Public Official (Former)	D Public Employee (F

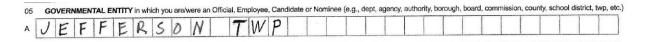
#### **BLOCK 4 PUBLIC POSITION OR PUBLIC OFFICE**

That's where most of you put the office you are running for, e.g. "Constable," "Auditor," "School Director," or "Supervisor".

04	PU	BLIC	POS	TION	OR PL	BLIC	OFF	CE (a	dmin	rator, memb	er, Com	missior	ner, jol	o title,	etc.)	Vs	eeking	ı	hold	hel	d
A	C	0	N	5	T	A	B	L	E												

#### **BLOCK 5 GOVERNMENTAL ENTITY**

That's where you put the Township/Boro office you are running for, e.g. "Exeter Township" or "Daniel Boone Area School District." Doesn't matter if you add things like "2-yr" term or ward number.



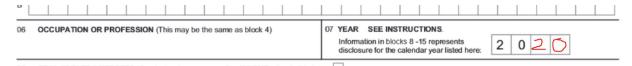
#### **BLOCK 6 OCCUPATION OR PROFESSION**

Put generic terms like "Engineer," "Technician," "Server," "Accountant," "Business Owner," "Retired," "Not employed." Don't put the actual name of your employer.

#### **BLOCK 7 YEAR**

Put 2020. Don't put 2021.

The Statement of Financial Interests is for the previous full <u>calendar</u> year. Please make sure to fill in box 7 with "2020." All sources of income, real estate interests, creditors, etc should pertain to last year (2020, not stuff that happened in, say, March of 2021).



Do I have to list my home in Box 08?

In most cases you do not have to list your home in box 08.

However, if you bought your home from the actual government of the state of Pennsylvania or from your county at a Sheriff's auction in 2020, or if you rent your home out to the Township you live, then you should list it.

If you own an office building that you rent out to small businesses, you don't have to list it in block 8 unless you also rent space out to a government entity such as the Township library. You probably would have to list an office building in section 10.

If you own a home that your rent to others, that belongs in BLOCK 10 for income.

#### **BLOCK 09 CREDITORS**

Debts that were over \$6,500 sometime in 2020 must be listed, like credit card debt, car loans, and school loans. However, do not report a mortgage or equity loan on your home or secondary home.

#### BLOCK 10 DIRECT OR INDIRECT SOURCES OF INCOME

This is where you list each job you had any time in 2020 where you got paid more than \$1,300 for the whole year of 2020 (the name and address of your employer; do not put how much money you actually get paid).

Usually you do not have to list your spouse's income. If you jointly owned a small business that was paying your spouse, then you would have to list it.

If you owned a lot of stocks and mutual funds and earned and withdrew more than \$1,300 in profits in 2020, then you list the name and address of the company holding your brokerage account, such as "Charles Schwab" or "Vanguard," not each stock owned, or mutual fund owned. If you just had a bunch of money sitting in a brokerage account growing in value and reinvesting dividends, or if you sold stock in one company, left the money in that same brokerage account, and reinvested it in another stock, you don't have to count that and list it. If you're unsure, simply listing the brokerage account will probably keep you covered.

You don't have to list Social Security or teacher retirement because those are government mandated retirement benefits.

If you are receiving distributions of funds from your 401k from a private employer, then you would have to list that.

Call the Ethics Commission if you are unsure.

#### **BLOCK 11 GIFTS**

Most people probably just have to check the box for "None." But depends on your situation.

BLOCK 12 TRANSPORATION, LODGING OR HOSPITALITY EXPENSES:

Most people probably just have to check the box for "None." But depends on your situation.

BLOCK 13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS ENTITY

Q: If I serve on the board of a non-profit, even if I don't get paid, do I have to list it?

A: Yes. For example, if you are Secretary of your PTA, you must list that.

Q: If I serve as the County LP Secretary, do I have to list it?

A: Yes, if you are Secretary of the Allegheny Libertarian Party, you must list that.

#### **BLOCK 14 FINANCIAL INTERESTS**

See official instructions.

#### **BLOCK 15 TRANSFERRED BUSINESS INTERESTS**

See official instructions.

#### SIGNATURE

First, did you fill in every single block? Where necessary, did you fill in "IF NONE, check this box?"

Sign and date.

REMEMBER, YOU HAVE TO TURN IN THE PHOTO COPY TO YOUR COUNTY, AND THE ORIGINAL TO the place your running for office to serve which is probably your TOWNSHIP, BOROUGH, or SCHOOL DISTRICT.

See attached example. Note, it has an attached 8.5x11 page with additional info.

# Example with fictitious info.

COMMONWEALTH OF PENNSYLVANIA SEC-1 (Rev. 01/21)

# STATEMENT OF FINANCIAL INTERESTS

PENNSYLVANIA STATE ETHICS COMMISSION (717) 783-1610 • TOLL FREE 1-800-932-0936

	PLEASE PRINT NEATLY		
01	LAST NAME FIRST NAME	Mi	SUFFIX
	BENEDICT SUPERDOG		111
02	1453 APPLE ST PHILADELPHIA PA 22257	rea Code (555) 86	Phone 5309
NO	OTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINAN	CIAL ACCOUN	T NUMBERS.
03	STATUS Check applicable box or boxes, more than one box may be marked. (See instructions on page 2)  A Candidate (including write-in) C Public Official (Current) D Public Employee (Current) E Check this be if you are filir as a solicitor	ox bo	heck this ox if you e amending n original filing
04	PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.)	held	
A (	CONSTABLE		
	✓ seeking hold l	held	
В	SCHOOL DIRECTOR		
05	GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission	, county, school	district, twp, etc.)
A	JEFFERSON TWP		
В	DALLAS AREA SCHOOL DIST		
06	OCCUPATION OR PROFESSION (This may be the same as block 4)  RETIRED  07 YEAR SEE INSTRUCTIONS.  Information in blocks 8 -15 represents disclosure for the calendar year listed here:	020	202
08	REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box.		
00	NEAL ESTATE INTERESTS (See institutions on page 2) Investe, unless this sex.		
09	CREDITORS (See instructions on page 2) Creditor (Name and Address)  Name: CAPITAL ONE BANK  Address: 1680 CAPITAL ONE DR.,  MCLEAN VA 7-2-10-7	Interest Rate	6
10	DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on page 2) If NONE,	(OFFICIAL U	ISE ONLY)
10	Name: BURGER KING  Address:  52  COLM BUS BLVD  PHILADELPHIA PA 17106	(0111011120	oz oner,
11	GIFTS (See instructions on page 2) If NONE, check this box.  Source of Gift	Value of Gift	
		TTTT	
L	Address of Source of Gift  Circumstances (including description) of Gift	<u></u> .	
	Address of Source of Gift  Circumstances (including description) of Gift		
12	TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box. V Source (Name and Address)	'alue	2
13		Position Held (i.e. employee, etc.)	, officer, director,
	Name: PHILADELPHIA LIBER TANADELPHIA LIBER Address: see attached	SECR.	ETAKY
14	Name and Address of Business  PHI A DE I PHI A PA	Interest Held (i.e.	, 5%, 10%, etc.)
BE	ENEDICT, LLC 1453 APPLE ST TITE 22257	100 %	
15	BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box.  Business (Name and Address)  Interest Held Relationship		
_	Transferee (Name and Address)  Date Transfe		ng made subject
The to th	e undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief; said the penalties prescribed by 18 Pa.C.S. §4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §11	,09(b). 25/2C	2
	THIS FORM IS CONSIDERED DESCIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOU	R RECORDS	3.

## ATTACHMENT FOR STATEMENT OF FINANCIAL INTERESTS

SUPERDOG C. BENEDICT, III

BLOCK 01 ENTIRE SUFFIX DID NOT FIT.

FULL NAME IS: SUPERDOG C. BENEDICT, III

BLOCK 10

UBER, 1455 Market St, San Francisco, CA 94103

BLOCK 13

PHILADELPHIA LIBERTARIAN PARTY, SECRETARY

555 BANANNA ST., PHILADELPHIA, PA 22555